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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/655,201	09/03/2003	Andrew P. Mazar	66782-056

McDERMOTT, WILL & EMERY  
 7th Floor  
 4370 La Jolla Village Drive  
 San Diego, CA 92122



CONFIRMATION NO. 8000

## FORMALITIES LETTER



\*OC000000011604675\*

Date Mailed: 01/02/2004

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT  
Client-Matter No.: 66782-056

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Mazar and Jones

Serial No.: 10/655,201

Filed: September 3, 2003

Title: ANTI-INVASIVE AND ANTI-  
ANGIOGENIC COMPOSITIONS

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

) Examiner: Not Yet Assigned

) Group Art Unit: 1654

) Confirmation No.: 8000

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Dear Sir:

In response to the Notice to Comply with Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed January 2, 2004,  
enclosed are:

- ☒ 1. A copy of the Notice;
- ☒ 2. Paper copy of the Sequence Listing, pp. 1-4;
- ☐ 3. A copy of the sequence listing in computer readable form;
- ☒ 4. Request to Use Computer Readable Form of Sequence Listing From Another Application;
- ☒ 5. Statement Under 37 C.F.R. § 1.821 (f) and (g);
- ☐ 6. An executed Declaration for Patent Application;
- ☐ 7. A Recordation Form Cover Sheet and an executed Assignment;
- ☐ 8. An executed Small Entity Statement;
- ☐ 9. An executed Power of Attorney for Patent Application by Assignee;
- ☐ 10. An executed Statement Under CFR 3.73(b) with copy of executed Assignment;

Inventors: Mazar and Jones  
Serial No.: 10/655,201  
Filed: September 3, 2003  
Page 2

☐ 11. Preliminary Amendment;

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	18-20	=	0	x	\$9	\$18	=	
INDEPENDENT CLAIMS	3-3	=	10	x	\$43	\$86	=	
MULTIPLE DEPENDENT CLAIMS PRESENTED: <u>  </u> YES <u>  </u> X NO					\$145	\$290	=	
					BASIC FEE		\$375	\$750
					TOTAL FEE			

- ☐ 9. Please charge my Deposit Account No. 502624 the amount of \$\_\_\_\_, \$\_\_\_\_ of which covers the filing fee of \$\_\_\_\_, \$\_\_\_\_ for the surcharge fee, \$\_\_\_\_ for recording an Assignment for the application. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 502624. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ The Commissioner is hereby authorized to charge to Deposit Account No. 502624 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: March 2, 2004

McDERMOTT, WILL & EMERY  
4370 La Jolla Village Drive, Suite 700  
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Pamela M. Guy

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Document: Paper copy of sequence listing,  
pages 1-4  
Attorney Client-Matter No: 66782-056

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